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## Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Lawrence McCarthy,  
et al.

Serial No.: 10/530,784 ✓

Filed: October 11, 2005

For: "SECURITY DEVICE"

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) Re: Information Disclosure
) Statement
) Group: not yet assigned
)
.) Examiner: not yet assigned
)
) Our Ref: B-5682PCT 622598-3
)
) Date: September 26, 2006
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Commissioner for Patents  
P.O. Box 1450  
Alexandria VA, 22313-1450

Sir:

In accordance with the Applicants' duty to disclose information which may be material to the examination of this application, the undersigned respectfully requests that the Examiner consider on the merits the documents listed on the enclosed Form PTO-1449 (modified) before issuing the first Office Action on the merits. We are enclosing herewith a copy of each document listed on the enclosed Form PTO-1449 (modified). Copies of the U.S. patent documents listed on the enclosed Form PTO-1449 (modified) are not enclosed in accordance with 37 C.F.R. § 1.98(a) (2) (ii).

The filing of this Information Disclosure Statement (IDS) shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

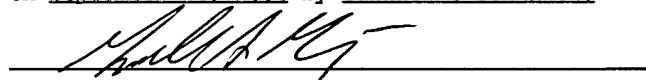
The Applicants believe that this IDS is being submitted before the issuance of a first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance. Therefore, no official fees should be due; and this IDS should be considered on the merits. If this IDS is being submitted after the issuance of the first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance, please contact the undersigned to authorize a payment of \$180.00 (or any other required amount), which is the fee set forth in 37 C.F.R. § 1.97(c), if the Examiner believes that such a fee is due in order for this IDS to be considered on the merits.

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The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. (Notice of January 9, 1992, 1135 O.G. 13-25, at 25.)

The person making this statement is the practitioner who signs below on the basis of information supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)) and on the basis of information in the practitioner's file.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450",  
on September 26, 2006 by Guillermo Gonzalez.



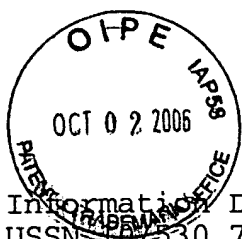
Respectfully submitted,



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Enclosures: Form PTO-1449 (modified) (2 pages)  
Copy of each Foreign Patent and non-document listed  
on Form PTO-1449 (modified)



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<b>Form PTO-1449 (Modified)</b> page 1 of 2	<b>ATTY DOCKET NO.</b> B-5682PCT 622580-9	<b>U.S. SERIAL NO.</b> 10/530,784
<b>LIST OF PATENTS AND PUBLICATIONS STATEMENT</b>	<b>APPLICANT(S)</b> McCarthy, et al.	
	<b>FILING DATE</b> October 11, 2005	<b>GROUP</b> not yet assigned

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
	5,825,547	10/1998	Lee	359	567	
	6,088,161	7/2000	Lee	359	567	
	5,428,479	6/1995	Lee	359	567	
	5,374,976	12/1994	Spannenburg	399	366	
	5,437,897	8/1995	Tanaka, et al.	428	29	
	5,403,040	4/1995	Mowry, jr.	283	91	

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
	330 738	9/1989	EP			
	105 099	4/1984	EP			
	0 490 923 B1	6/1992	EP			
	2003905861	10/2003	AU			
	2004/00746	12/2004	AU			
	2004900187	1/2004	AU			
	02/00551	11/2002	AU			

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	Derwent Abstract of 2001-599594/68, Class P-75;T05, JP 2001-130125 A	
	"Riemersma dither", retrieved from <a href="http://www.compuphase.com/riemer.htm">www.compuphase.com/riemer.htm</a>	
	Spannenberg, S., Optical Document Security, Ch. 8, pp.169-199 (1998)	

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